



# Polar Capital Funds plc

## Dealing Form

Please read the Important Information overleaf

# Important Information

## Please read this information before you complete this form.

Please complete this form in blue or black ink using **BLOCK CAPITALS** and return the completed form to Northern Trust International Fund Administration Services (Ireland) Limited (the “Administrator”) at the address below:

<b>Post</b>	Northern Trust International Fund Administration Services (Ireland) Limited Floor 2, Block A, City East Plaza Towlerton Ballysimon Limerick Ireland
<b>Fax</b>	+353 1 542 2889
<b>Telephone</b>	+353 1 434 5007
<b>Dealing via Email</b>	PolarTAInstructions@ntrs.com
<b>General Queries:</b>	Polar_ta@ntrs.com

Before completing this form, please ensure that you have read the Prospectus, including the relevant Supplement(s), for the Company. Unless otherwise defined, capitalised terms used in this form have the same meaning as set out in the Prospectus.

## Dealing procedures

- All instructions in relation to the transaction of Shares in the relevant Funds must be received before the times specified in the Prospectus
- Requests received after this time will generally be deemed to have been received for the next Dealing Day

## Subscription guidance

- Please ensure you state the full name of the fund, share class and currency of the investment you wish to subscribe to
- Capture the amount you wish to subscribe in both figures and words
- Please complete sections 1, 3, 4 and 5

## Redemption guidance

- No redemption proceeds will be paid until the Account Opening Form has been received and the Administrator is satisfied that all anti-money laundering checks are complete
- Please ensure you state the full name of the fund, share class and currency of the investment you wish to redeem
- Capture the amount you wish to redeem in both figures and words
- Please complete sections 1, 2, 3 and 5

# Section 1: Your Information\*

Sections marked with an asterisk (\*) must be completed.

## Data Protection

Your personal information will be handled by the Administrator or its duly appointed delegates as Data Processor for the Fund in accordance with the Irish Data Protection Acts 1988 to 2018 and the General Data Protection Regulation (Regulation 2016/679) (together the “Data Protection Legislation”). Your information provided herein will be processed for the purposes of complying with the Data Protection Legislation and this may include disclosure to the Irish Revenue Commissioners. Please see the Prospectus for further details.

## Registered shareholder’s details

Agent ID

Account number

*The account number is displayed on the top right corner of your contract notes.*

First name

Family name

Company name

Address

Town/city

Postcode

Country

Daytime contact number  
(including dialling code)

Email address

## Section 2: Bank Details

Redemptions only. For subscriptions, please go to section 3.

Where the bank details below differ from those held by the Administrator, an original signed copy of the new instructions must be received by the Administrator in advance of any remittance of redemption proceeds.

Bank name	
Bank address	
IBAN	
SWIFT	
Beneficiary account name	
Beneficiary account number	
Sort code	
Business identifier code (BIC)	

## Section 3: Investment Dealing Instructions

Please specify the share class you intend to deal, taking into consideration the minimum initial subscription amounts found in the Prospectus and relevant Supplement(s).

All redemption or subscription requests must be received before the times specified in the relevant supplement of the Prospectus for each Fund. Instructions received after that time will generally be deemed to have been received the next Dealing Day. No redemption payment will be paid until the Account Opening Form has been received from the Shareholder and the Administrator is satisfied that all the necessary anti-money laundering checks have been completed in full.

Please enter the amounts and the fund details below. Please note, a full stop (.) denotes a decimal point and a comma (,) denotes thousands. Settlement must be sent by wire transfer to the bank details provided in Section 5.

	Fund 1	Fund 2	Fund 3	Fund 4
Fund name				
Share class				
Class currency				
ISIN/SEDOL (If known)				

### Subscriptions

(Please note the payment details in section 4)

Number of Shares				
or				
Investment amount				
Investment currency				

### Redemptions

Number of Shares				
or				
Investment amount				
Investment currency				

## Section 4: Polar Capital Funds Plc Bank Details

### Subscription only

All payments must be made by electronic transfer to the relevant account detailed below for settlement on the relevant Dealing Day in accordance with the Prospectus. Settlement must be made in the designated currency of the Fund or Class in which you are investing. Payments must come from the account of the investor.

**Please ensure you include the “contract number” as a reference within your subscription payment instruction. The contract number is displayed on the top right corner of your contract note and begins with the letter “A”.**

If you do not have the contract number, please ensure that you include your shareholder account number and the subscription value, as the identifiers. Failure to include identifying references on your payment may impact or delay the further processing of your deal and/or result in a late payment fee.

Settlement currency	US Dollar (USD)	British Pound (GBP)	Euro (EUR)
Intermediary bank	N/A	Barclays Bank PLC, London	Barclays Bank PLC, London
Intermediary SWIFT	N/A	BARCGB22	BARCGB22
Beneficiary bank	The Northern Trust International Banking Corporation, New Jersey	The Northern Trust International Banking Corporation, New Jersey	The Northern Trust International Banking Corporation, New Jersey
Beneficiary SWIFT	CNORUS33	CNORUS33	CNORUS33
IBAN/Acct No Beneficiary bank	N/A	N/A	56080488
Fedwire ABA	026001122	N/A	N/A
CHIPS ABA	0112	N/A	N/A
Beneficiary bank name and account number	POLAR CAPITAL FUNDS PLC – 179416-20010	POLAR CAPITAL FUNDS PLC – 626689-20019	POLAR CAPITAL FUNDS PLC – 626663-20019
Reference	Contract number * (please see note above).		

Settlement currency	Swiss Franc (CHF)	Japanese Yen (JPY)	Swedish Krona (SEK)
Intermediary Bank	Credit Suisse AG, Zurich	Bank of America, NA, Tokyo Branch	Nordea Bank AB, Stockholm
Intermediary SWIFT	CRESCHZZ80A	BOFAJPJX	NDEASESS
Beneficiary bank	The Northern Trust International Banking Corporation, New Jersey	The Northern Trust International Banking Corporation, New Jersey	The Northern Trust International Banking Corporation, New Jersey
Beneficiary SWIFT	CNORUS33	CNORUS33	CNORUS33
IBAN/Acct No Beneficiary bank	CH100483509849903301 0	50234015	SE5030000000039527707854
Beneficiary bank name and account number	POLAR CAPITAL FUNDS PLC - 626705-20019	POLAR CAPITAL FUNDS PLC - 626697-20019	POLAR CAPITAL FUNDS PLC - 628933-20019
Reference	Contract number * (please see note above).		

## Section 5: Signatures of Registered Shareholders(s)

To be signed in accordance with the authorised signatory list as provided to the Administrator. For joint holdings, a minimum of two signatures are required.

Full name of signatory 1

Signature

Date

Full name of signatory 2

Signature

Date

Full name of signatory 3

Signature

Date

Full name of signatory 4

Signature

Date

This document is provided by Polar Capital LLP which is authorised and regulated by the Financial Conduct Authority ("FCA") in the United Kingdom. Registered address: 16 Palace Street, London SW1E 5JD. Polar Capital LLP is a registered investment adviser with the United States Securities and Exchange Commission ("SEC"). Polar Capital LLP is the investment manager and promoter of Polar Capital Funds plc – an open-ended investment company with variable capital and with segregated liability between its sub-funds, incorporated in Ireland, and organised as an Undertaking for Collective Investment in Transferable Securities ("UCITS"), authorised by the Central Bank of Ireland and recognised by the FCA.